APPLICATION
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LETTERS PATENT

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For: TECHNIQUE USING PERSISTENT FOCI
FOR FINITE STATE MACHINE BASED
SOFTWARE TEST GENERATION
Docket No.: IL9-2000-0079

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Technique Using Persistent Foci For Finite State Machine Based Software Test Generation

BACKGROUND OF THE INVENTION

1. Field of the Invention.

This invention relates to software testing. More particularly this invention relates to the automatic generation of test programs for a software implementation that has been modeled as a finite state machine.

2. Description of the Related Art.

In the field of hardware, testing it is common to treat the device under test as a finite state machine (FSM). It has been proposed to similarly automate software testing by similarly representing the software program as a finite state machine, in which transitions are represented as directed edges between states. However, the number of tests required to exhaustively exercise a software program is typically much larger than is required for hardware. Furthermore resources for test execution are limited, and their use constitutes a cost. Accordingly, test engineers have attempted to selectively reduce the number of tests in order that the test generation process be practical in terms of cost and execution time, recognizing that the testing process must still be reliable. Explosion of the number of test programs that are generated by automatic techniques is a drawback of automatic test program generation.

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Test engineers use finite state machines to model ex-
ternally observable behavior, and then use various tools
to traverse paths of test actions that connect a sequence
of states They then generate test cases for a variety of

5   purposes, for example acceptance suites, full functional
test suites, and regression test suites. Regression test
suites involve a rerun of selected portions of a test
suite following a revision of an application.

Because a finite state machine that reflects the

10   specification of a useful software program is typically
very large, various approaches have been taken to manage
the model, using concise and powerful graphical and tex-
tual languages. Various traversal algorithms are applied
to the finite state machine for test generation. These

15   algorithms are parameterized by the test engineer at run-
time.

The generation of an astronomical number of possible
test cases is a well-known software testing problem,
which has been exacerbated by the speed of automated test

20   generation. Test engineers deal with this by identifying
"equivalence classes" for various attributes of test
cases. For example, for a function call argument that
must fall within the range of 1 to 5, a test engineer may
decide to test the minimum value (1), the maximum value

25   (5), and one value that falls between the minimum and the
maximum, such as the value (2). With these decisions, the
test engineer places the values (2), (3), and (4) in an
"equivalence class". Each value is considered equivalent

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to the other two, in the sense that if the test fails for
any value in the class, then it will fail for all other
values of the class. The recognition of equivalence
classes stems from the recognition of inherent properties
5   of the software being tested. In theory, there is one
"true" set of equivalence classes for a particular pro-
gram. Once these classes are correctly ascertained, they
will remain static throughout the testing period, or un-
til the software application under test is significantly
10   changed.

Conventional approaches to test generation have com-
mon problems that this invention builds upon. In each
case, the number of unique paths, or generated test pro-
grams is an exponential function of the number of modeled
15   states and transitions. Thus as the scope of the modeled
behavior grows, the time to exhaustively generate test
cases, and more significantly, the time needed to execute
the generated test cases grows exponentially. This growth
places a practical limit on the complexity of the program
20   behavior to which automated test generation can be ap-
plied. The invention focuses and therefore reduces the
number of tests to a practical level. In so doing, the
invention raises the practical limit on the complexity of
the software program to which automated test generation
25   may be applied.

A common test planning heuristic is "suspicion test-
ing", in which "suspected" features of the program are
evaluated. For example, aspects of the program that are

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inherently difficult to implement are suspected to have a relatively high probability of containing defects.

In other approaches, constraints have been imposed on paths or transitions, and if not satisfied, the path would not be tested further.

Typical of prior art approaches for generating test programs is U.S. Patent No. 5,394,347 to Kita *et al.* which discloses a method of modeling a specification as an extended finite state machine, then performing a depth-first traversal of the resulting state diagram to generate a path file as a basis for a test program.

U.S. Patent No. 5,623,499 to Ko *et al.* discloses a technique for generating a test data sequence of minimal length, employing an extended finite state machine. This technique attempts to balance the number of traversals of the directed edges in order to test values in a predetermined test data set. The test data sequence is constructed using an Euler tour.

In U.S. Patent No. 5,918,037 to Tremblay *et al.*, it is proposed to employ a test generator that automatically produces test programs based on a finite state machine model of the software. Limiting the number of test programs is achieved by controlling loop execution, and by appropriately setting the coverage level for the model, known as "transition cover testing". This approach seeks to specify during the test program generation process that each transition within the finite state machine model be exercised once. The generator is capable of

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specifying different coverage levels for selected por-
tions of the program under test, so that critical por-
tions might be exhaustively tested, while other portions
receive less comprehensive testing.

5   There are several reasons for focusing test program
generation. Some may be unanticipated during the develop-
ment and implementation of the software specification.
For example, the testing process may uncover programming
defects. Such discovery may create the need to generate
10   still more tests that work around the newly discovered
defect in order to test unaffected parts of the software.
Once the defect has been corrected, even more tests may
need to be generated in order for verification. In prac-
tice, a supposedly corrected defect may surface again
15   following subsequent program modification, or changes in
the conditions of usage. Thus, it is desirable to repeat-
edly verify that the defect has not recurred.

The task has fallen to software engineers to revise
test programs to accommodate incremental changes in the
20   software program. As there is a cost in the generation of
test models, engineers archive and reuse the products of
the test generation process. While the archival technique
is generally practical, maintaining compatible archived
test programs has itself been proven costly. Furthermore,
25   ad hoc practices of cataloging, finding, and retrieving
combinations of test generation parameters are impracti-
cal. Because of the lack of alternatives, test engineers

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often are compelled to resort to archiving entire test suites, which is relatively costly.

It would be desirable to be able to automatically create test programs directed at narrowed targets in a manner that allows more compact archival of data objects that can be readily retrieved and reused when it becomes necessary to retest a software application, or for use in testing different software applications.

SUMMARY OF THE INVENTION

It is a primary advantage of some aspects of the present invention that a technique of software test generation is provided wherein a practical, limited number of tests programs are generated.

It is an another advantage of some aspects of the present invention that the targets of the test generation programs are narrowly focused.

It is yet another advantage of some aspects of the present invention that objects employed in the system of software test generation are archivable and reusable in the testing of multiple software applications.

It is a further advantage of some aspects of the present invention that changes in the software program can be accommodated by automatically detecting those objects employed in the system of software test generation which require revision.

These and other advantages of the present invention are attained by a system for automatic generation of test programs that employs test generation foci linked to a

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finite state machine behavioral model of a software application under test. The foci and the behavioral model provide the input of a test generator that produces abstract test suites that are executed by an execution engine. The foci include directive expressions that tag coverage variables of the behavioral model. The execution engine operates until the tagged coverage variables have assumed all their possible values. Both the behavioral models and the foci are archivable and can be retrieved and reused independently.

This invention applies to all parameterized test generation algorithms. All known approaches using the finite state machine utilize the states of the program as a set of variables with values assigned. Aspects of the invention that relate to state variables of behavioral models are broadly applicable to all known methods employing finite state machine modeling and test generation techniques that use state variables.

The invention provides a method for testing computer software, which includes modeling a software application as a finite state machine to define a behavioral model, and associating the behavioral model with a focus. The focus has a reference to the behavioral model, and has at least one directive. The method further includes generating a test program according to state transitions of the behavioral model and the directive of the focus.

According to an aspect of the method, the directive is a model independent directive.

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According to yet another aspect of the method, the directive is a model dependent directive, and a coverage variable of the behavioral model is tagged by a tag of the model dependent directive.

According to an aspect of the method, the test program references the coverage variable, and generating the test program is performed until the coverage variable has assumed each of its allowable values.

According to still another aspect of the method, the coverage variable is a plurality of coverage variables, and generating the test program is performed until a cross product of the coverage variables has assumed all possible values thereof.

According to an additional aspect of the method, generating the test program is performed until an orthogonal array of the coverage variables has assumed all possible values thereof.

According to yet another aspect of the method, the model dependent directive is a plurality of model dependent directives, and the coverage variable is tagged by a plurality of tags of the model dependent directives.

According to an additional aspect of the method, the tag is a number-of-tests-per-value tag.

According to an aspect of the method, the model dependent directive is a mask-value directive.

According to yet another aspect of the method, the directive includes a plurality of directives that are combined to define a directive expression, wherein gener-

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ating the test program is performed until the directive
expression has a predetermined value.

According to an aspect of the method, modeling is
performed by retrieving the behavioral model from a model
5   archive.

According to still another aspect of the method, the
behavioral model is associated with a focus by retrieving
the focus from a focus archive.

Another aspect of the method includes comparing state
10   variables of foci of the focus archive with state vari-
ables of the behavioral model, and responsive to compari-
sons resulting from the comparison, revising selected
ones of the foci.

The invention provides a computer software product,
15   which includes a computer-readable medium in which com-
puter program instructions are stored, which instruc-
tions, when read by a computer, cause the computer to
execute a method of test generation for testing computer
software. The method includes accepting as a first input
20   a behavioral model of a software application, wherein the
behavioral model includes a finite state machine, accept-
ing as a second input a focus that has a reference to the
behavioral model, and has at least one directive, associ-
ating the behavioral model with the focus, and generating
25   a test program according to state transitions of the be-
havioral model and the directive of the focus.

According to an aspect of the software product, the
directive is a model independent directive.

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According to yet another aspect of the software prod-
uct, the directive is a model dependent directive, and a
coverage variable of the behavioral model is tagged by a
tag of the model dependent directive.

5   According to an aspect of the software product, the
test program references the coverage variable, and gener-
ating the test program is performed until the coverage
variable has assumed each of its allowable values.

         According to still another aspect of the software
10   product, the coverage variable is a plurality of coverage
variables, and generating the test program is performed
until a cross product of the coverage variables has as-
sumed all possible values thereof.

         According to an additional aspect of the software
15   product, generating the test program is performed until
an orthogonal array of the coverage variables has assumed
all possible values thereof.

         According to yet another aspect of the software prod-
uct, the model dependent directive is a plurality of
20   model dependent directives, and the coverage variable is
tagged by a plurality of tags of the model dependent di-
rectives.

         According to an additional aspect of the software
product, the tag is a number-of-tests-per-value tag.

25   According to an aspect of the software product, the
model dependent directive is a mask-value directive.

         According to yet another aspect of the software prod-
uct, the directive includes a plurality of directives

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that are combined to define a directive expression, wherein generating the test program is performed until the directive expression has a predetermined value.

According to an aspect of the software product, mod-
5   eling is performed by retrieving the behavioral model from a model archive.

According to still another aspect of the software product, the behavioral model is associated with a focus by retrieving the focus from a focus archive.

10 Another aspect of the software product includes com- paring state variables of foci of the focus archive with state variables of the behavioral model, and responsive to comparisons resulting from the comparison, revising selected ones of the foci.

15 The invention provides a method of test generation for testing computer software, which includes modeling a software application as a finite state machine to define a behavioral model, associating the behavioral model with a focus, the focus having a reference to the behavioral
20 model, and having at least one directive, deriving an ab- stract test suite from the behavioral model and the fo- cus, wherein the abstract test suite complies with a test constraint that is encoded in the focus, and executing the abstract test suite in an execution engine.

25 According to an aspect of the method, executing the abstract test suite includes generating a test script from the abstract test suite, wherein the test script is executed in the execution engine.

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According to an additional aspect of the method, pro-
ducing the abstract test suite is performed with a test-
ing interface. The testing interface can include an ab-
stract-to-concrete translation table.

5   According to a further aspect of the method, the
testing interface includes a test driver, an operator in-
terface, and producing the test suite further includes
varying parameters of the test driver via the operator
interface in accordance with requirements of the software
10   application.

According to another aspect of the method, the direc-
tive is a model independent directive.

According to an additional aspect of the method, the
coverage variable includes a plurality of coverage vari-
15   ables, and generating the test script is performed until
the cross product of the coverage variables has assumed
all possible values thereof.

According to another aspect of the method, generating
the test script is performed until an orthogonal array of
20   the coverage variables has assumed all possible values
thereof.

According to a further aspect of the method, the di-
rective is a model dependent directive, and a coverage
variable of the behavioral model is tagged by a tag of
25   the model dependent directive.

According to still another aspect of the method, the
abstract test suite references the coverage variable, and
the generating the test script is performed until the

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coverage variable has assumed each of its allowable values.

According to yet another aspect of the method, the directive is model independent directive.

According to an aspect of the method, the model dependent directive includes a plurality of model dependent directives, and the coverage variable is tagged by a plurality of tags of the model dependent directives.

According to another aspect of the method, the tag is a number-of-tests-per-value tag.

According to a further aspect of the method, the model dependent directive is a mask-value directive.

According to yet another aspect of the method, the directive includes a plurality of directives that are combined to define a directive expression, wherein generating the test script is performed until the directive expression has a predetermined value.

According to an additional aspect of the method, modeling is performed by retrieving the behavioral model from a model archive.

According to an aspect of the method, associating the behavioral model is performed by retrieving the focus from a focus archive.

Another aspect of the method includes comparing state variables of foci of the focus archive with state variables of the behavioral model, and responsive to the comparisons, revising selected ones of the foci.

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The invention provides a computer software product
for testing computer software, including a com-
puter-readable medium in which computer program instruc-
tions are stored, which instructions, when read by a com-
5   puter, cause the computer to perform a method which in-
cludes associating a behavioral model of a software ap-
plication with a focus. The focus has a reference to the
behavioral model, has at least one directive, and the be-
havioral model models a finite state machine. The method
10   further includes deriving an abstract test suite from the
behavioral model and the focus, wherein the abstract test
suite complies with a test constraint that is encoded in
the focus, and executing the abstract test suite in an
execution engine.

15   According to an aspect of the software product, exe-
cuting the abstract test suite includes generating a test
script from the abstract test suite, wherein the test
script is executed in the execution engine.

          According to an aspect of the software product, pro-
20   ducing the abstract test suite is performed with a test-
ing interface.

          According to another aspect of the software product,
the testing interface includes an abstract-to-concrete
translation table.

25   According to a further aspect of the software prod-
uct, the testing interface includes a test driver, an op-
erator interface, and the method includes varying parame-

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ters of the test driver via the operator interface in ac-
cordance with requirements of the software application.

According to yet another aspect of the software prod-
uct, the directive is a model independent directive.

5   According to an aspect of the software product, the
directive is a model dependent directive, and a coverage
variable of the behavioral model is tagged by a tag of
the model dependent directive, the coverage variable has
allowable values.

10   According to an additional aspect of the software
product, the coverage variable includes a plurality of
coverage variables, and generating the test script is
performed until a cross product of the coverage variables
has assumed all possible values thereof.

15   According to a further aspect of the software prod-
uct, the coverage variable includes a plurality of cover-
age variables, and generating the test script is per-
formed until an orthogonal array of the coverage vari-
ables has assumed all possible values thereof.

20   According to still another aspect of the software
product, the abstract test suite references the coverage
variable, and generating the test script is performed un-
til the coverage variable has assumed each of the allow-
able values.

25   According to a further aspect of the software prod-
uct, the model dependent directive includes a plurality
of model dependent directives, and the coverage variable

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is tagged by a plurality of tags of the model dependent
directives.

According to yet another aspect of the software prod-
uct, the tag is a number-of-tests-per-value tag.

5   According to still another aspect of the software
product, the model dependent directive is a mask-value
directive.

According to an additional aspect of the software
product, the directive includes a plurality of directives

10   that are combined to define a directive expression, and
generating the test script is performed until the direc-
tive expression has a predetermined value.

According to an aspect of the software product, mod-
eling is performed by retrieving the behavioral model

15   from a model archive.

According to another aspect of the software product,
associating is performed by retrieving the focus from a
focus archive.

A further aspect of the software product includes

20   comparing state variables of foci of the focus archive
with state variables of the behavioral model, and respon-
sive to the comparisons, revising selected ones of the
foci.

The invention provides a computer system for testing

25   computer software, which includes a user interface for
creating a behavioral model of a software application.
The behavioral model represents a finite state machine,
wherein the user interface creates a focus. The focus has

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a reference to the behavioral model, and has at least one directive, The system further includes a compiler, for converting the behavioral model into an intermediate encoding thereof, a test generator, accepting the intermediate encoding and the focus as input, and producing an abstract test suite, and an execution engine for executing a test program of the abstract test suite.

According to an aspect of the system, the execution engine produces a suite execution trace.

Another aspect of the system includes an analyzer, which reads the suite execution trace, and the execution engine accepts an output of the analyzer.

Yet another aspect of the system includes a visualizer for visualizing an output of the execution engine.

According to an additional aspect of the system, the execution engine receives input from an application model interface that is created by the user interface.

According to still another aspect of the system, the directive is a model independent directive.

According to another aspect of the system, the directive is a model dependent directive, and a coverage variable of the behavioral model is tagged by a tag of the model dependent directive.

According to yet another aspect of the system, the test program references the coverage variable, and the test generator operates until the coverage variable has assumed each of the allowable values.

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According to still another aspect of the system, the coverage variable includes a plurality of coverage variables, and the execution engine executes until a cross product of the coverage variables has assumed all possible values thereof.

According to yet another aspect of the system, the execution engine executes until an orthogonal array of the coverage variables has assumed all possible values thereof.

According to an additional aspect of the system, the model dependent directive includes a plurality of model dependent directives, and the coverage variable is tagged by a plurality of tags of the model dependent directives.

According to an aspect of the system, the tag is a number-of-tests-per-value tag.

According to another aspect of the system, the model dependent directive is a mask-value directive.

According to a further aspect of the system, the directive includes a plurality of directives that are combined to define a directive expression, wherein the execution engine executes until the directive expression has a predetermined value.

Yet another aspect of the system includes a model archive that is accessed by the user interface.

Still another aspect of the system includes a focus archive that is accessed by the user interface.

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BRIEF DESCRIPTION OF THE DRAWINGS

For a better understanding of these and other objects of the present invention, reference is made to the detailed description of the invention, by way of example, which is to be read in conjunction with the following drawings, wherein:

Fig. 1 is a block diagram of a technique for automatic software testing in accordance with the invention;

Fig. 2 is a flow chart illustrating a method of automatic software testing according to a preferred embodiment of the invention;

Fig. 3 is a block diagram illustrating the architecture of a system for automatically testing software in accordance with the invention;

Fig. 4 schematically illustrates a focus and a behavioral model which are used in a preferred embodiment of the invention;

Fig. 5 is a schematic illustration of a test generator that accepts one or more foci and a behavioral model;

Fig. 6 is a more detailed schematic illustration of the focus shown in Fig. 4;

Fig. 7 is a finite state machine graph;

Fig. 8 is a projection of the state diagram of the example illustrated in the graph of Fig. 7;

Fig. 9 is a finite state machine graph;

Fig. 10 is a is a projection of the state diagram of the example illustrated in the graph of Fig. 9; and

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Fig. 11 is a more detailed flow chart illustrating a
portion of the method shown in Fig. 2.

DESCRIPTION OF THE PREFERRED EMBODIMENT

In the following description, numerous specific de-
5   tails are set forth in order to provide a thorough under-
standing of the present invention. It will be apparent
however, to one skilled in the art that the present in-
vention may be practiced without these specific details.
In other instances well known circuits, control logic,
10   and the details of computer program instructions for con-
ventional algorithms and processes have not been shown in
detail in order not to unnecessarily obscure the present
invention.

Software programming code, which embodies the present
15   invention, is typically stored in permanent storage of
some type, such as a computer readable medium. The soft-
ware programming code may be embodied on any of a variety
of known media for use with a data processing system,
such as a diskette, or hard drive, or CD-ROM. The code
20   may be distributed on such media, or may be distributed
to users from the memory or storage of one computer sys-
tem over a network of some type to other computer systems
for use by users of such other systems. The techniques
and methods for embodying software program code on physi-
25   cal media and/or distributing software code via networks
are well known and will not be further discussed herein.

As used herein, a "test suite" is a collection of
test programs for use in testing a software application.

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General Methodology.

Turning now to the drawings, and to Figs. 1 and 2
thereof, the general method 10 of automated software
testing is employed in conjunction with certain modifica-
tions according to a preferred embodiment of the inven-
tion, as will be disclosed in further detail hereinbelow.

Software specifications 12 provide the starting point
for the general method 10 at step 14. The specifica-
tions 12 are evaluated at step 16. Execution then pro-
ceeds to the step 18, in which a behavioral model 20 of
the software application is constructed in some formal
language, based on the specifications 12. The behavioral
model 20 is specified as a finite state machine. Such ma-
chines are well known in the art and are disclosed, for
example, in the above noted U. S. Patent No. 5,918,037.
The behavioral model 20 may comprise a plurality of fi-
nite state machines.

The behavioral model 20 is associated with a focus,
which is a data object 22 that includes testing direc-
tives 24, descriptions of the coverage goals 26, and test
constraints 28, as may be required by a particular test
suite. Preferably, the behavioral model 20, and the data
object 22 are stored in separate files for ease of man-
agement, and separation of function. Thus, the behavioral
model 20 could be associated with directives and test
constraints that differ from the directives 24 and the
test constraints 28 in order to generate different test
suites of a software application for different purposes.

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Regression suites, acceptance suites, and full functional test suites could be produced using the behavioral model 20.

Following construction of the behavioral model 20, a testing interface 30 is created at step 32. The testing interface 30 is separate from the behavioral model 20 and the test execution engine 34 that actually executes the test programs. Functionally the testing interface 30 provides a connection between concepts and abstractions that are embodied in the behavioral model 20, and the requirements of the test execution engine 34. In practice, a software application may already be provided with an existing test execution engine, and the testing interface 30 is prepared by coding an abstract-to-concrete (A2C) test translation table. The testing interface 30 employs an abstract-to-concrete translation algorithm, which can be written in any convenient programming language to produce actual test scripts and verification code. Alternatively, test suites can be executed using a generic test driver with an appropriate test driver interface 36 that may include customization to meet the requirements of the software application under test. It is common for established software products to have customized test drivers, which can be adapted for use in accordance with the invention. It is an essential phase in the general method 10 that the behavioral model 20 and the testing interface 30 be reviewed by the testers, architects, and developers of the software application under

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test. This review, conducted in step 38, is intended to discover inaccuracies, omissions, and contradictions in the specifications 12. The review of step 38 also can reveal problems related to the design and specification of

5   the testing interface 30. Such interface defects are similar to those that would be encountered by an end-user or programmer while writing an application or component, which interacts with the software application under test. An additional benefit of the review of step 38 is the

10   discovery of defects resulting from imperfect communication between members of the development team for the software application under test, and the team charged with testing the software. It has been found that detection of these defects significantly reduces maintenance

15   costs that may be required later in the product life cycle.

At decision step 40 it is determined whether defects in the testing interface 30 have been revealed in step 38. If so, control returns to step 32. Otherwise, at

20   decision step 42 it is determined whether defects in the specifications 12 or the behavioral model 20 have been revealed in step 38. If so, then control returns to step 16 or step 18 as appropriate.

If no defects have been revealed in an iteration of

25   step 38, then execution proceeds to step 44, where a formal test generation tool 46 generates abstract test suites 48. The abstract test suites 48 cover specified aspects of the behavioral model 20, and satisfy the test

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constraints 28. The test constraints 28 are realized as objects known as foci, which are disclosed in further detail hereinbelow. The test generation tool 46 reports any coverage tasks that cannot be covered by a test that satisfies the test constraints 28. It has been found that such tasks are indicative of defects in the behavioral model 20. For example, they could indicate that the test constraints 28 are excessively narrow. However, they also could indicate defects in the specifications 12.

In step 50 the abstract test suites 48 are submitted to a translator 52 which prepares test scripts 54 for execution by the test execution engine 34. The test execution engine 34 can execute the abstract test suites 48 directly, using the software application under test. The results include a test log 56, that records the test execution, and compares the outcome of each step in the test with the outcome predicted by the behavioral model 20. In some embodiments the test execution engine 34 simulates the software application under test, using stimuli provided from the abstract test suites 48 that are generated from the behavioral model 20.

Evaluation of the test results 58 that are produced in step 50 is accomplished in step 60. If defects are found, then the process iterates, and control returns to step 16. If necessary, modifications are made to the behavioral model 20, the directives 24, or the runtime parameters of the test generation tool 46. More abstract test suites can then be generated in order to improve the

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effectiveness of the test. Most coding and design defects
are expected to be discovered during step 60.

It has been found in practice that several test
suites and several distinct behavioral models can be used
5   to test the same software application, each of the test
suites exposing a different set of defects.

If no defects are discovered in step 60, then the
process ends at termination step 62.

System Architecture.

10   The architecture of an automated software testing
system that is suitable for use with the method illus-
trated in Fig. 2 is explained in further detail with ref-
erence to Fig. 3. The system 64 is designed for open par-
ticipation and includes interchangeable components, which
15   can be developed and implemented as necessary for a par-
ticular software application. The system 64 can be used
with various modeling languages, including the languages
SDL, Z, CSP, UML, Murphi, Spin, and others. The system 64
permits the reuse of existing testing frameworks and exe-
20   cution engines.

A user interface 66 is preferably employed to create
a suitable behavioral model 68, an application model in-
terface 70, and a collection 72 of test goals and test
constraints. The test constraints of the collec-
25   tion 72 are foci. The user interface 66 includes suitable
editors for the behavioral model 68, the testing goals
and constraints, or foci, of the collection 72, and the
model interface 70, with activators for these tools, and

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applications for viewing their outputs. It is possible to
create the behavioral model 68 and the model interface 70
without the benefit of the editors of the user inter-
face 66, but the process is more tedious. In some embodi-
ments, the behavioral model 68 can be retrieved from an
archive of behavioral models.

A compiler 74 converts the behavioral model 68, into
an intermediate format 76 of the behavioral model 68 and
its testing directives, which are foci. The intermediate
format 76 is an encoding of a finite state machine, which
describes the behavior of the software application under
test, the coverage goals of the test suite, and the re-
strictions imposed by testing constraints.

The intermediate format 76 can be a C++ source file
that contains classes describing the state variables,
methods for computing the set of all next states from a
given state in the finite state machine, methods for gen-
erating all start states, methods for computing the test
constraints, and methods to analyze the states in terms
of the coverage directives. The intermediate format 76 is
compiled and linked together with test generator code, to
produce a model-specific test generator 78. Preferably,
the test generator code is written in a high performance
software language such as C, C++, or assembler.

The test generator 78 can be used with different re-
alizations of the compiler 74, and produces an abstract
test suite 80. In prototypes, the test generator 78 has
been successfully used with models written in languages

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based on both Objective VHDL and Murphi. It is possible
to substitute different versions of the test generator 78
into the system 64. Thus, a plurality of test generators
can act sequentially in the role of the test genera-
5   tor 78, and can produce different versions of the ab-
stract test suite 80.

The abstract test suite 80 can be in XML format, or
in any of the standard languages for test case specifica-
tion, including TTCN, the ITU standard for test suites in
10   the telecommunications area. It includes elements de-
scribing the set of all state variables, and their
ranges. The abstract test suite 80 also includes elements
describing the set of all possible inputs to the state
machine. It will be appreciated that these inputs are
15   stimuli for the software application under test. Also in-
cluded in the abstract test suite 80 is the set of all
test cases in the suite, each of which consists of a se-
quence of transitions. Each transition includes an input,
or stimulus, followed by the state entered by the model
20   after response to the stimulus.

An execution engine 82 reads the abstract test
suite 80, along with test interface objects that are pro-
duced in the model interface 70. The execution engine 82
is a set of Java classes, which must be customized for
25   the software application under test by coding a set of
methods. These methods include a method for each stimulus
to the software application under test, a method to query
the values of the software artifacts that correspond to

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the state variables, and a method to instantiate verifi-
cation logic, which compares the state predicted by the
behavioral model 68 with the observed state of the soft-
ware application under test.

5   Each stimulus for each transition is presented by the
execution engine 82 to the software application under
test. The execution engine 82 then queries the state of
the software application under test, applying the custom-
ized verification logic. The response to the stimulus and
10   the verification by the execution engine 82 are written
to a suite execution trace 84 in a standard form accessi-
ble to existing productivity tools including an ana-
lyzer 86 and a visualizer 88.

The suite execution trace 84 and the abstract test
15   suite 80 produce large amounts of data, which are gener-
ally difficult for the human operator to assimilate. The
visualizer 88 is capable of showing data produced by both
the suite execution trace 84 and the abstract test
suite 80 in a visually informative way. It has been found
20   that a tree representation of the abstract test suite 80
is particularly useful to the operator. Color codes indi-
cate the success or failure of a particular member of the
abstract test suite 80, test case, or transition. The
visualizer 88 is constructed to permit additional levels
25   of detail to be visualized, using a mouse interface.
Other tools of the visualizer 88 provide statistical sum-
maries, and enable the creation of bar charts, histo-

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grams, and graphs displaying various aspects of the ab-
stract test suite 80 and the suite execution trace 84.

The analyzer 86 is capable of reading the suite exe-
cution trace 84 and identifying areas of the behavioral
5   model 68 that may not have been covered sufficiently. The
analyzer 86 provides input to the test generator 78 in
order to develop additional test programs. The feedback
provided by the analyzer 86 to the test generator 78 is
important in real situations, where the translation from
10   abstract tests to actual test runs may not be completely
accurate.

Foci.

The system 64 operates far more satisfactorily if the
abstract test suite 80 can be narrowly focused. Referring
15   now to Figs. 4 and 5, an object, known as a test genera-
tion focus, or more simply a "focus" has been found to be
useful in producing narrowly focused test suites, and can
be used with the system 64. The foci 90, 92, 94, 96 have
the attributes of being readily archived, classified, re-
20   searched, and retrieved, because they have a concise
logical structure and meaning. Foci include references to
all of the models and versions of models to which they
are intended to apply. The foci 90, 92, 94, 96 are stored
in a focus archive 98. The focus 90 has references to
25   models 100, 102, 104, which are indicated by solid lines
in Fig. 4. The focus 92 has references to models 102,
104, and the foci 94, 96 only have references to
model 104. The models 100, 102, 104 are stored in a model

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archive 106. Thus, foci and models are archived as distinct objects in the focus archive 98, and the model archive 106, respectively. Foci can be created using the user interface 66. In some embodiments, the user interface 66 optionally retrieves foci from an archive of stored foci.

Foci also include a logical expression composed of two types of directives, which are explained with reference to Fig. 5. A focus 108 linked to a behavioral model 110 is input to a test generator 112. The logical expression directs the test generator 112 to create a focused subset 114 of the set of tests that could potentially be generated by the test generator 112 from the behavioral model 110.

Referring now to Fig. 6, the internal structure of a focus 116 is shown in further detail. The focus 116 contains a model independent directive 118 and a model dependent directive 120. Each of these represents a distinct class of directives. Model independent directives are generically applicable to most finite state machine models. This type of directive is commonly embodied as command line arguments to the invocation of a test generator. A well-known example of a model independent directive is "all transitions in the model should be included in at least one test". Model dependent directives refer to a particular attribute of a behavioral model, for example a reference to a specific state or set of states. A well-known directive of this type is "a par-

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ticular state should not be entered by any tests". It is
an advantage of the foci according to the invention that
both types of directives can be combined in a common ar-
chivable focus, together with references to versions of
5   behavioral models to which both types of directives ap-
ply.

Model Dependent Directives.

Specific formulations for some model dependent direc-
tives will now be disclosed. According to a first direc-
10   tive formulation, a state variable in a behavioral model
is tagged with a directive tag, known as a "coverage"
tag. Such a tagged state variable acquires the attribute
of being a "coverage variable". A variable lacking such a
coverage tag is not a coverage variable. Referring again
15   to Fig. 5, the focus 108 includes a coverage tag 122,
which references the coverage variable 124 of the behav-
ioral model 110. The test generator 112 responds to the
presence of the coverage variable 124 by generating at
least one test 126 in the subset 114 that contains at
20   least one state, in which the coverage variable 124 has
each of its possible values. For example, the variable
Foo has possible values of {true, false). The test 126,
when applied to the software application under test will
reach at least one state where each of the following as-
25   sertions is true: 1) the variable Foo equals true; and 2)
the variable Foo equals false. Once the test genera-
tor 112 has generated tests satisfying the directives
with respect to which the coverage variable 124, and any

other coverage variables (not shown) are associated, then
test generation stops.

   It is an important feature of the invention that di-
rective tags are integral to the foci, and are not part
5   of the behavioral model. Each focus is related to a
model, and each directive tag is related to some part of
the behavioral model.

   In a preferred embodiment of the invention, multiple
directive tags may be assigned to the same state vari-
10   able. The effects of directive tags combine. The effects
of a plurality of directive tags with respect to a par-
ticular coverage variable meaning of the tags together
may narrow or expand the meaning that would be assigned
to each directive tag taken alone. By assigning a plural-
15   ity of directive tags to a state variable, it is possible
to achieve novel interactions among directive tags, and
between directives and parts of behavioral models.

   Denoting more than one variable to be a coverage
variable in the same behavioral model has a particular
20   interpretation herein. When this occurs, each of the mem-
bers of the cross-product of the possible values of each
variable should be realized in at least one generated
test. For example, assume that a coverage variable Foo
has possible values {1, 2) and a coverage variable Bar
25   has possible values {a, b). The cross-product of these
two sets is {(1,a), (1,b), (2,a), (2,b)}. Given this di-
rective the test generator 112 will attempt to create

tests where each of these pairs exists in at least one
state of at least one test.

When more than two variables are denoted to be cover-
age variables, the number of tests generated may be too
5   large for practical test execution with the testing
budget, since the number of members of the cross product
rises exponentially. In a preferred embodiment of the in-
vention, the use of orthogonal arrays of strength t, the
value t being fixed by the user, should be allowed as a
10   method for efficient sampling of the cross product and
covering all interactions of t coverage variables within
the generated tests. For example if the three variables
denoted as coverage variables are Boolean with value do-
main {F, T}, then instead of generating eight tests
15   (2*2*2), or one test for each member of the cross prod-
uct, it is sufficient to use the orthogonal array of
strength 2 comprising the four tests FFF, FTT, TFT, and
TTF to cover all the pair wise interactions of any two of
the three variables.

20   In the preferred embodiment of the invention, multi-
ple directives are always logically combined into a sin-
gle "directive expression" that a test generator will at-
tempt to fulfill before ceasing the generation process.
It may not be possible to make the expression hold true.
25   For example, some states may be unreachable, or direc-
tives may be contradictory. Contradictory directives are
resolved by precedence rules, for example a simple prece-
dence ordering of directives. Once a directive expression

holds true, the test generator will cease after complet-
ing the generation of the current test. As was explained
above, interactions between directives may add additional
meaning to the directive expression.

5   In one model dependent directive, a tag is termed the
"number-of-tests-per-value" tag. This tag specifies the
ratio between the number of tests created and the number
of possible values for the associated coverage variable.
For example, a "one-test-per-value" directive tag simply
10   means that the number of created tests should be greater
than or equal to the number of possible values for the
variable. Without a number-of-tests-per-value directive
tag, all of the possible values for a coverage variable
might occur in a single test, which may be undesirable.

15   Another model dependent directive, the "mask-value"
directive, disallows some of the possible values that are
enumerated for a coverage variable in a behavior model.
This directive can dramatically reduce the number of gen-
erated test cases, while still allowing sufficient varia-
20   tion for a particular focus to interact with the test
generator and provide useful test results.

Modeling and Testing Directives Language.

       As has been explained above, a behavioral model used
in the current preferred embodiment of the invention is
25   associated with directives to guide the test generator in
its choice of test cases to generate. These directives
are principally coverage criteria and test constraints.
Other directives may also be given at the time of running

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the model, but most coverage criteria and test con-
straints must be coded into foci. It is advisable to keep
the coverage criteria and test constraints in separate
files to separate out the behavioral aspects of the model
5   from the testing strategy to be used.

The software model may be written in a special pur-
pose language, termed the GOTCHA Definition Language
(GDL), for the description of models. This language is
based on the Murphi Description Language. The model may
10   also be written in any of the accepted software modeling
languages, such as Z, UML, CSP, and SDL.

A GOTCHA Definition Language model is divided into
three parts, which must occur one after the other. The
software model itself may be spread over a number of
15   files, each of file type ".g". It is preferable that the
files containing test generation directives be kept sepa-
rate from the files that describe the behavioral model
itself. The model may also be spread over several physi-
cal files. Each of the files should have a filename ex-
20   tension ".g".

The three parts are (1) declarations; (2) functions
and procedures; and (3) rules and directives. A GOTCHA
Definition Language description consists of A GDL de-
scription consists of the following categories:

25   (1) declarations of constants, types, and global
variables;

(2) declarations and descriptions of functions and
procedures;

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(3) a collection of transition rules;

(4) a description of the states where test cases may start and end;

(5) a set of coverage criteria or foci; and

(6) a set of state invariants and test constraints (optional).

Categories (1) - (3) are taken directly from the well-known Murphi language, and are included here for convenience. Categories (4) - (6) include GOTCHA Definition Language extensions of the Murphi language that adapt the language to the particular requirements of the invention. The part of the GOTCHA Definition Language concerned with the behavioral model is a collection of transition rules. Each transition rule is a command with a pre-condition, a Boolean expression in the global variables, and an action, a block of statements that modify the values of the variables. In the current embodiment of the GOTCHA Definition Language, a transition rule may have several actions associated with it, each of which represents a possible outcome of the transition rule. A transition with more than one possible action is called a "pluRule" and is used to model non-deterministic behavior of the software application under test.

The condition and the actions of the transitional rules are both written in a Pascal-like language. The action can be an arbitrarily complex statement block containing loops and conditionals. No matter how complex it is, the action is executed atomically. No other rule can

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change the variables or otherwise interfere with an action while it is being executed.

A Sample Model.

This section contains a simple model of a piece of software whose single goal in cyberspace is to generate the characters of the string "HELLOWORLD" and then stop.

A purpose of this section is to clarify the concepts of (1) projected state; (2) reachable state; (3) coverable state; and (4) coverage task. The model is given in Listing 1 below, to which the following description refers.

The TC_EndTestCase clause is a Boolean expression and not an assignment statement. It is shorthand for "Any state where the value of the expression is TRUE is a legitimate place to finish a test."

The finite state machine graph described in the model of Listing 1 is shown pictorially in Fig. 7. Each state is described by the values of the state variables, currentChar, NumEls, and NumOhs. In the projection onto the coverage variables, the values taken by the coverage variable, currentChar are on the X-axis, and the values taken by the non-coverage variables, NumEls, and NumOhs, are on the Y-axis. Any combination of values in the three state variables is possible, so any position on the graph 128 shown in Fig. 7 could represent a state. For example the state 130, in which the variables currentChar = H, NumEls = 0, and NumOhs = 0, is represented in the bottom left hand corner of the graph 128. A state in

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which the variables currentChar = H, NumEls = 3, and Nu-
mOhs = 2, would be represented in the top left hand cor-
ner. All states in which the variable currentChar = H are
in the leftmost column 132. All states in which the vari-
5   able currentChar = D are in the rightmost column 134.
State 130 is the start test case state. The set of end
test cases comprises all states in the rightmost col-
umn 134. In the example illustrated in the graph 128,
there is only one member of the set of end test cases,
10 the state 136.

The rule, Next_Character(), enables a passage from
one state to the next state. In general when drawing
state graphs, the arrows are labeled with the name of the
rule that is activated to make the transition between
15 states. In the graph 128, as there is only one rule, la-
beling the arrows has been omitted.

A state diagram 138 of the example of Fig. 7 is il-
lustrated in Fig. 8. The state diagram 138 shown on the
projected graph is derived from the graph 128 by project-
20 ing all the states in a single column onto a single pro-
jected state.

Reachable and Unreachable States.

The concepts of reachable states, unreachable states
and coverage tasks are presented with reference to
25 Fig. 9, which presents a slightly modified "Hello World"
example. A state is reachable if there is a sequence of
transitions (rules) that produces the state from a start
test case state. The state 140, having the value H10, and

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the state 142, having the value E10, are unreachable, de-
spite the fact that they are legitimate values of the
three state variables. All the letters of the alphabet
other than the letters "HELWORD" are in unreachable

5   states. In the graph 144, instead of the clause,
TC_EndTestCase Char = D, there is a clause,
TC_EndTestCase Char = R.

A state is coverable if there exists a path from a
start test case state to the state in question, and then

10   on to an end test case state. In the graph 144, the
state 146, having the value L22, and the state 148, hav-
ing the value D32, are both reachable states. They can be
reached from the start test case state 150. However, they
are uncoverable, since there is no path from either of

15   these states to an end test case state.
Coverage Tasks.

A coverage task is a set of states or transitions,
all of which satisfy some instance of a coverage crite-
rion. In the case of state projection coverage, a cover-

20   age task is a projected state, or equivalently, the set
of all states in the full graph that map onto a state in
the projected graph. Each member of the set is called a
representative of the coverage task. A coverage task is
uncoverable if all its representatives are uncoverable.

25   Fig. 10 shows the projection graph 152, corresponding to
the example of Fig. 9. The projected state 154, having
the value "D", is uncoverable, but the projected
state 156, having the value "L", is coverable, since

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there is a path, indicated by the arrows 158, 160, from
at least one of its representatives to a final state 162.
Directive Construction.

Testing directives are used specifically to drive the
test generation process, not to describe the behavioral
model of the software under test. One type of testing di-
rective specifies coverage criteria, and in another type
specifies test constraints.

Test constraints.

The syntax of test constraints is given formally as
follows:

```
<testconstraint> ::= TC_EndTestCase < string >
<boolexpr>

TC_Forbidden_State [<string>] < boolexpr > |
          TC_Forbidden_Transition
[<string>] < boolexpr >; < boolexpr > |
          TC_Forbidden_Transition
[<string>]

[From] < boolexpr > To < boolexpr > |
          TC_Forbidden_Path [<string>] <
boolexpr >; < boolexpr >; <expr> |
          TC_Forbidden_Path [<string>]

[From] < boolexpr > To < boolexpr > Length
<expr>|
          TC_Within [<string>] < boolexpr
>; < boolexpr >; < boolexpr > |
          TC_Within [<string>]

[From] < boolexpr > To < boolexpr > Includes <
boolexpr >
```

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Test constraints have an optional string, which is
used in the abstract test suite as a name for the test
constraint. This is especially important in the case of a
constraint, TC_EndTestCase, which appears at the end of
5   every test case.

The expressions must all be Boolean expressions in
the variables within the scope of the constraint. The
only exception is the length expression in the forbidden
path test constraint. This must evaluate to an integer.
10 In the current embodiment, the integer must be in the
range 2-7.

The syntax of the constraint end test case,
(TC_EndTestCase), is given as follows:

    TC_EndTestCase < string > <expr>

15 The end test case state is the last state in any test
generated by the test generator, thus it is effectively a
test constraint. Every test must finish in a state where
some end test case expression evaluates to the value
True.

20 If no TC_EndTestCase directive is specified among the
foci, then the compiler inserts a default constraint that
makes every state an end test case state:

    TC_EndTestCase "Default" TRUE;

25 The string is output to the test case as a method
pattern, and any parameters to the constraint
TC_EndTestCase are output as data patterns in the ab-
stract test suite.

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The semantics of the forbidden state test constraint are: no test will be generated that passes through a state where the expression evaluates to the value TRUE. This constraint is applied throughout the test genera-
5   tion, and it can have a drastic effect on the size of the reachable state graph. It is an essential element in controlling the size of the test suite and in dealing with the state explosion problem.

For example, specifying the constraint TC_Forbidden_State var1=3, removes all states where the
10   variable var1 = 3 from the state space and thus from all the tests generated. Any state that can only be reached via states with the variable var1 = 3 will also be elimi- nated. Specifying the constraint TC_Forbidden_State = TRUE prevents the compiler from generating any tests,
15   since all paths pass through a forbidden state.

The semantics of a forbidden transition test con- straint are: No test will be generated that passes through a transition where a first expression is true be-
20   fore the transition, and a second expression is true af- ter the transition. This constraint is applied throughout the test generation, and it can decrease the size of the reachable state graph. It is a method for controlling the size of the test suite and dealing with the state explo-
25   sion problem. For example, specifying the constraint TC_Forbidden_Transition var1=3; var1!=3 removes all tran- sitions from the state space when the variable var1 is altered from the value 3 to some other value by the tran-

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sition. Any state that can only be reached via such a transition will also be eliminated.

The semantics of a forbidden path test constraint are: No test will be generated that passes from a state where the first expression is true to a state where the second is true in k or fewer steps, where the variable k is the value of the third expression. When the variable k = 1, this is just a forbidden transition, and the forbidden transition construct should be used. This constraint is applied throughout the test generation. It can have a positive or negative effect on the size of the reachable state space, since an additional state variable is introduced to keep track of the constraint. Specifying the constraint TC_Forbidden_Path var1=3; var1!=3; 4; removes all execution sequences which alter var1 from 3 to another value in four or fewer steps. Any state that can only be reached via such a sequence will also be eliminated.

The semantics of a test constraint "Within" are that: No test will be generated that passes from a state where a first expression evaluates to the value True to a state where a second expression evaluates to the value True, without passing through a state where a third expression evaluates to the value True. This constraint is applied throughout the test generation. It can have a positive or negative effect on the size of the reachable state space, since an additional state variable is introduced to keep track of the constraint..

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For example, specifying the constraint TC_Within as "interesting" From Cmd=FileOpen To Cmd=FileClose Includes Cmd=Write & nbytes > 0; causes all test sequences, which contain a close command following an open command to in-
5   clude a write command with a non-zero value of the number of bytes, nbytes, to be written.

Coverage Criteria.

The formal syntax for a coverage criterion is:

```
         <coveragecriterion> ::=
          CC_Some_State [<string>] <boolexpr> |
                         CC_Some_Transition [<string>]
         <boolexpr>;
         <boolexpr> |
                         CC_Some_Transition [<string>]
         [From] <boolexpr> To <boolexpr> |
          CC_State_Projection [<string>]
         <boolexpr> On <type_exprs_pairs> ; |
                         CC_Transition_Projection
         [<string>]
         From_condition <boolexpr> From
         <type_exprs_pairs> ;
         To_condition <boolexpr> To <type_exprs_pairs> ;
         |
         CC_All_State [<string>] <boolexpr> |
                         CC_All_Transition [<string>]
         <boolexpr>; <boolexpr> |
                         CC_All_Transition [<string>]
         [From] <boolexpr> To <boolexpr>


         <type_exprs_pairs> ::= <type_expr_pair>
         {;[<type_expr_pair>]}
```

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```
<type_expr_pair> ::= expr : typeid |
enum_type_expr
```

Coverage criteria are a way to direct the test gen-
erator. They supply criteria for tests to be generated,
as opposed to test constraints. The latter inform the
test generator which tests not to generate.

The coverage criteria all have an optional string
that is only used in the printout as a name for the cri-
terion. The expressions denoted by the identifier
boolexpr must all be Boolean expressions in the variables
within the scope of the criterion.

The <type_exprs_pairs> token stands for a semicolon
delimited string of tokens, each of which is either a
simple expression, or a simple expression followed by its
type identifier. The type identifiers are only necessary
when the expression is of an integer subrange type, oth-
erwise, the type identifier can be omitted, since the
compiler can deduce the type of the expression. A Boolean
expression is a special case of an enumerated type ex-
pression.

"Some state" coverage is a criterion that describes a
single coverage task. The task is specified by a Boolean
expression, which is evaluated at each state in order to
decide if it is a representative of the coverage task or
not. Any state wherein the Boolean expression evaluates
to the value TRUE is a representative of this coverage
task. The compiler then generates a test case that passes
through a randomly chosen representative state.

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Specifying the coverage criterion, CC_Some_State = TRUE; causes the compiler to generate a single test through a randomly chosen state. If no coverage criteria are given in the model, this coverage criterion is the default, which is added to the model.

Specifying the coverage criterion, CC_Some_State "Interesting" var1=3 & var2=4; causes the compiler to generate a single test which includes a randomly chosen state where the variable var1 = 3 and the variable var2 = 4, if such a state exists which is both reachable and coverable.

"Some transition" coverage also describes a single coverage task. The task is specified by Boolean expressions, which are evaluated at the beginning and end of each transition, in order to decide if it is a representative of the coverage task or not. Any transition from the state s to the state t where the FROM expression evaluates to the value TRUE on the state s and the TO expression evaluates to the value TRUE on the state t is a representative of the task. Accordingly, the compiler generates a test case that passes through a randomly chosen representative transition.

Specifying the coverage criterion CC_Some_Transition TRUE; TRUE; - causes the compiler to generate a single test through a randomly chosen transition, since every transition is a representative of this task.

Specifying the coverage criterion CC_Some_State "Interesting" var1=3; var2=4; causes the compiler to gener-

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ate a single test which includes some transition from a state where the variable var1 = 3 to a state where the variable var2 = 4, if such a transition exists which is both reachable and coverable.

5   "State projection" coverage describes a set of coverage tasks, rather than a single task. Each task is a state in a projection of the finite state machine. The projection variables are the expressions given in the list of expressions. The condition provides a method for

10   creating an additional subset of the set of tasks and their representatives.

For example to specify coverage tasks in the "Hello World" model projected onto the values of the variable CurrentChar, the following coverage criterion would be

15   used:

CC_State_Projection TRUE On currentChar;

This means that each coverage task is specified by the different values taken by the variable currentChar. One coverage task is represented by any state where the

20   variable currentChar=A, another by all states where the variable currentChar=B, up to a maximum of 26 coverage tasks. In the example "HELLO WORLD", the coverage task with currentChar=L has three representatives.

If, in the example "HELLO WORLD", It were to be

25   specified:

CC_State_Projection NumOhs=1 On currentChar;

Then only two reachable states would satisfy the condition (O,2,1) and (W,2,1), where each state is denoted

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by the triple (currentChar, numEls, numOhs). Since each
of these is in a different coverage task, that is to say,
each has different values for the variable currentChar,
the compiler would attempt to generate two test cases,
one through each of these states.

In another example, if a state contains two integer
variables x and y, but the user is interested in test
cases where the values of the sum x + y are distinct,
then one would use the coverage criterion:

CC_State_Projection TRUE On x+y:sum_range_t;

An upper bound on the number of coverage tasks gener-
ated by a State Projection criterion of the form:

CC_State_Projection boolexpr On var1; var2; var3;

is the product of the ranges of the three variables var1,
var2, and var3. If all three variables were Boolean, then
up to 2*2*2 = 8 tasks would be generated by this coverage
criterion. The actual number of tasks, and hence test
cases, generated could be smaller, for one of the follow-
ing reasons: (1) the effect of the Boolean expression in
defining subsets may reduce the number of representatives
of a task to zero; (2) not all eight tasks may be reach-
able from a StartTestCase state; (3) more than one of the
tasks may be covered by a single test case; and (4) no
reachable representative of a task is actually coverable.

Listings 2 and 3 are code fragments illustrating the
use of coverage criterion CC_State_Projection. Listing 2
represents a behavioral model, and Listing 3 represents a
set of foci that apply to the model.

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"Transition projection" describes a set of coverage tasks similar to state projection. This is essentially a projection of the state space onto two different sets of variables, whose values are given by the expressions in

5   the lists. Transition projections of the transitions of interest are considered distinct, when their first state is projected onto the first list, and their second state is projected onto the second list. Moreover, different conditions for creating subsets can be specified for each

10 of the two projections. The syntax is as follows:

CC_Transition_Projection

From_Condition TRUE From var1; var2; var3;

To_Condition TRUE To var1; var2; var3;

Assume that BoolArray is an array of Boolean vari-

15 ables, and that enumvar1 is of an enumerated type with three possible values, v1, v2, and v3. Then specifying

CC_Transition_Projection "Interesting"

From_Condition system=stable From BoolArray[0];

To_Condition system=unstable To enumvar1;

20 causes the compiler to generate up to 2*3=6 test cases:

a transition from a state with system=stable and Boo-lArray[0]=TRUE to a state with system=unstable and enum-var1=v1;

25 a transition from a state with system=stable and Boo-lArray[0]=TRUE to a state with system=unstable and enum-var1=v2;

50

a transition from a state with system=stable and Boo-
lArray[0]=TRUE to a state with system=unstable and enum-
var1=v3;

a transition from a state with system=stable and Boo-
5   lArray[0]= FALSE to a state with system=unstable and
enumvar1=v1;

a transition from a state with system=stable and Boo-
lArray[0]= FALSE to a state with system=unstable and
enumvar1=v2;

10   a transition from a state with system=stable and Boo-
lArray[0]= FALSE to a state with system=unstable and
enumvar1=v3;

There may be fewer than six test cases generated if
there are no reachable and coverable transitions, in
15   which one of the above situations occurs. Fewer than six
test cases may also be generated if a single test case
can be constructed with more than one of the required
transitions occurring in the same test case.

"All state" coverage describes a subset of all pro-
20   jected states that are projected onto the coverage vari-
ables. The use of this coverage criterion is no longer
recommended. This is because state projection allows the
user to define an equivalent coverage criterion without
the use of coverage variables in the declarations section
25   of the model.

Specifying   the   coverage   criterion   CC_All_State
Boolexpr; is equivalent to the projected state coverage
achieved by the coverage criterion CC_State_Projection

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Boolexpr On var1; var2; var3, where var1, var2, and var3 are defined as Coverage_var in the declarations section of the model.

The use of this criterion and its companion coverage criterion CC_All_Transition can be effective, but is not preferred, since they require the coverage notions to be a part of the behavioral model, rather than a separate entity in a possibly different file.

"All transition" coverage describes a subset of all projected transitions projected onto the coverage variables. The subset is specified by the Boolean expressions, which are evaluated at the start and end of each transition to decide if it is a representative of a coverage task or not. The use of this coverage criterion is not preferred. This is because the coverage criterion transition projection allows the user to define an equivalent coverage criterion without the use of coverage variables in the declarations section of the model.

If the variables var1, var2, and var3 are defined as type coverage_var in the declarations section of the model, then CC_All_Transition Boolexpr1; Boolexpr2; is equivalent to the transition projection criterion:

CC_Transition_Projection

From_Condition Boolexpr1 From var1; var2; var3;

To_Condition Boolexpr2 To var1; var2; var3;.

Additional coverage criteria are now disclosed.

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CC_All_Rule_Variation. This coverage criterion guar-
antees that all transition rules for the application are
covered in all their variations, with all possible combi-
nations of parameters in the model. This is usually re-
5   ferred to as Variation Testing" in the literature.

CC_All_Rule_Boundary. This coverage criterion guaran-
tees that all transition rules for the application are
covered, with all boundary values of parameters in the
model. This is usually referred to as "Boundary Value
10   Testing" in the literature.

CC_All_Rule_Random <n>. This coverage criterion guar-
antees that all transition rules for the application are
covered, with n randomly chosen values of parameters in
the model. This is usually referred to as "Random Varia-
15   tion Testing" in the literature.

CC_All_Rule_Random <1>. This coverage generates one
randomly chosen value of parameters in the model, not in-
cluding boundary values, and this referred to as "Basic
Usage Testing".

20   CC_All_Rule_Output. This coverage criterion guaran-
tees that all transition rules for the application are
covered, in combination with all possible output values
for the rule's execution. This is analogous to variation
testing, which combines the rules with all possible in-
25   puts.

CC_All_Rule_Input_Output. A stronger coverage crite-
rion covering all combinations of rules, inputs, and out-
puts.

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One could also parameterize the above criteria by giving single rule names, e.g. CC_Rule_Variation <Rule-Name>

CC_Rule_Boundary <RuleName>, etc.

The coverage criterion, CC_Rule_Interleaving <n> <list of RuleNames>, guarantees that concurrent inter-leaving of the listed transition rules for the applica-tion is covered, with n randomly chosen values of parame-ters in the model. This is usually referred to as "Inter-leaving Testing" or "Interaction Testing" in the litera-ture.

There can be further parameterization by taking a subset of the input and outputs as parameters to the cov-erage criteria. Such a subset is an example of a focus.

Application to Test Plans and Maintenance.

Partitioning of the foci and the behavioral models has been shown to significantly facilitate and improve the process of testing software generally, and par-ticularly the aspect of test planning. Foci are used in test planning. Because they are concise objects, they can be entirely included in test plans, rather than merely referencing them. Commentary may be associated with foci in the test plan in order to clarify the intention of executing the tests that follow from each focus. The or-der and schedule of testing may be annotated with refer-ence to foci.

The first step of traditional test maintenance is identifying tests and parts of tests, which need to be

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updated for successive releases of software. Due to the voluminous nature of test results, it is often difficult to accomplish this task efficiently. In the foci used in the preferred embodiment of the invention, most dir-

5   ectives reference state variables of the test generation model. These state variables are generally compatible with updated versions of the behavior model as long as the state variables remain unchanged. Foci in need of re-vision can be automatically determined using a suitable

10   scanning program.

Referring again to Fig. 2, the preparation of the be-havioral model in step 18 is explained in further detail in view of the foregoing disclosure. The process is ex-plained with reference to Fig. 11, and begins at initial

15   step 164. At step 166 the general specifications of a be-havioral model are determined. State variables are tenta-tively selected at this point for association with a fo-cus. Then, at step 168, an archive of previously stored behavioral models is consulted.

20   At decision step 170, it is determined if a suitable behavioral model has been previously constructed and is available for reuse. If the software application under test is a revision, existing behavioral models will nor-mally be available. Even in new software applications,

25   previously constructed behavioral models may be usable with relatively minor adjustments.

If at decision step 170 a suitable model was deter-mined to be available, it is retrieved from the model ar-

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chive, and a focus archive consulted at step 172. How-
ever, if at decision step 170 no suitable model was lo-
cated in the model archive, then execution proceeds to
step 174, where a behavioral model is prepared conven-
5   tionally. Control then proceeds to step 172.

Next, in decision step 176 it is determined if
step 172 has yielded a suitable focus for reuse, which
references the state variables selected in step 166 and
has other desired directives.

10   If such a focus is determined to be available at de-
cision step 176, then at decision step 178 critical
evaluation of the tagged state variables corresponding to
the state variables that were selected at step 166 have
changed. If so, then the directives of the focus are ap-
15   propriately revised at step 180. At step 182, the focus
and the behavioral model are associated together.

If, at decision step 176 it is determined that no
suitable focus is available, then at step 184 an *ad hoc*
focus is coded, and execution then proceeds to step 182.

20   Control then passes to decision step 186, where it is
determined if more models need to be prepared for a test
suite. If so, then control reverts to step 166, and the
process iterates. If no more models need to prepared,
then the process completes at termination step 188.

25   If, at decision step 178, it is determined that the
state variables are unchanged, then step 180 is omitted,
and control proceeds directly to step 182.

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It will be appreciated that the behavioral model and the focus are separate data structures, and are independently retrieved from their respective archives. In many cases, suitable foci and behavioral models are available, and little or no coding will be required of the testing operators. In other cases, only one of the two needs to be created. Only in the case where both the focus and the behavioral model are required to be recoded does the cost of the process rise to that of conventional behavioral model preparation.

In some embodiments, a state space is shared between two or more behavioral models that test different pieces of a software program. In practice, the different pieces are often assigned to different modeling-testing personnel. This means that foci developed for one piece of the software application under test are compatible with the behavioral models for different pieces of the software. Foci are hard-won objects, and any reuse has practical value. Well designed foci are applicable to multiple behavioral models that share the same state variables. Foci are scored and ranked based on their efficacy. For example, a focus that has a history of finding defects in one part of the program may be a candidate for focusing testing of another part of the program. Focus rank is a criterion for inclusion of the focus in regression testing.

The choice of foci and the component parts of a directive expression determine how many tests will be generated from a model. For example, the number of generated

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tests is greatly increased whenever a coverage variable
is added. Immediate numerical feedback is provided when a
focus is modified. The cost of focus modification must be
taken into account in practical environments, and bal-
anced against the costs that would be incurred in commit-
ting resources without the focus modification. The latter
approach could result in large numbers of aborted test
generation cycles. According to the invention, rapid nu-
merical feedback tends to minimize the cost of focus
modification, and generally reduces the cost of the soft-
ware testing process.

Limiting the number of generated tests is accom-
plished in other ways. For example, a directive may tem-
porarily disallow some values of a coverage variable that
are enumerated in a behavioral model. The selection of
values to disallow is however not based on static inher-
ent properties of the software application under test. It
is instead based on the dynamically changing needs of a
testing process that extends over a period of time.

Computer Program Listings.

Listing 1

```
Var currentChar: enum {A, B, C, D, E, F, G, H, I, J, K,
          L, M, N, O, P, Q, R, S, T, U, V, W, X, Y, Z};
Var NumEls: 0..3;
  NumOhs: 0..2;

TC_StartTestCase "Initialize_Message()"
Begin
 currentChar := H;
 clear NumEls;
```

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```
    clear NumOhs;
    End;

    Rule "Next_Character()"
5     currentChar != D
==>
begin
switch currentChar
        case H: currentChar := E;
10      case E: currentChar := L;
        case L:
            switch NumEls
                case 0: currentChar := L; NumEls:=1;
                case 1: currentChar := O; NumEls:=2;
15              case 2: currentChar := D; NumEls:=3;
                case 3: put "Cant get here L" ;
            endswitch;
        case O:
            switch NumOhs
20              case 0: currentChar:=W; NumOhs:=1;
                case 1: currentChar:=R; NumOhs:=2;
                case 2: put "Cant get here O";
            endswitch;
        case W: currentChar:=O;
25      case R: currentChar:=L;
        else put "Cant get here Other";
endswitch;
End;

30  TC_EndTestCase "End_Message"
       currentChar = D;
```

Listing 2

```
Const    MAX : 3;
35
Type NumEntries_t : 0..MAX;
    action_t :    enum {no_action,add,update,remove,get};
    name_t :      enum {no_name,Ilan,Guy,Gadi};
    address_t :   enum {no_address, a123, a456, a789};
40  response_t : enum {Success, Fail, NameExist,
    NameNotExist};
```

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```
          entry_t :  Record
                  name :      name_t;
                  address : address_t;
                End;
  5     A2E_1_t :  Record
                  connected :     boolean;
                  response :      response_t;
                  last_entry :    entry_t;
                End;
 10     A2E_2_t :  Record
                  action :      action_t;
                  last_entry : entry_t;
                  data : array [NumEntries_t] of entry_t;
                End;
 15

    Var  client : A2E_1_t;

        data_base : A2E_2_t;
 20


    Function isNotFull(): boolean;
      Var result : boolean;
      Begin
 25      result := exists i : 1..MAX Do
                  data_base.data[i].name = no_name
                  endexists;
         return(result);
      End;
 30

    Function NameIndex (n : name_t): NumEntries_t;
      Var i: NumEntries_t;
      Begin
 35      for i : 1..MAX do
           if data_base.data[i].name = n then
             return i;
           endif;
         endfor;
 40      return 0;
      End;
```

60

```
Procedure AddEntry (n : name_t; a : address_t);
  Begin
      for i : 1..MAX Do
5       if data_base.data[i].name = no_name then
            data_base.data[i].name := n;
            data_base.data[i].address := a;
                 return;
         endif;
10      endfor;
  End;


Procedure doAction (n : name_t; a : address_t; ac : ac-
tion_t);
15  Begin
      client.last_entry.name        := n;
      client.last_entry.address     := a;

      data_base.last_entry.name     := n;
20    data_base.last_entry.address  := a;
      data_base.action := ac;
      client.response := Success;
         if n = no_name then
         data_base.last_entry.name := no_name;
25       data_base.last_entry.address := no_address;

         data_base.action := no_action;
            client.response := Fail;
      Endif;
30  End;


  Ruleset n : name_t; a : address_t
    Do
35    Rule "add(n,a)"
          client.connected & isNotFull()
      ==>
      Begin
          doAction(n,a,add);
40        if (client.response = Success) then
                 if NameIndex(n)>0 then
              client.response := NameExist;
```

```
             else
                AddEntry(n,a);
             endif;
          Endif;
  5      End;


         Rule "update(n,a)"
             client.connected
 10      ==>
         Var i : NumEntries_t;
         Begin
             doAction(n,a,update);
             if (client.response = Success) then
 15            i := NameIndex(n);
               if i=0 then
                  client.response := NameNotExist;
               else
                  data_base.data[i].address := a;
 20               client.response := Success;
               endif;
             Endif;
         End;
       End;
 25


     Ruleset n : name_t
       Do
          Rule "remove(n)"
 30          client.connected
          ==>
          Var i : NumEntries_t;
          Begin
             doAction(n,no_address,remove);
 35          if (client.response = Success) then
               i := NameIndex(n);
               if i=0 then
                  client.response     := NameNotExist;
               else
 40               data_base.data[i].name    := no_name;
                  data_base.data[i].address := no_address;
                  client.response := Success;
```

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```
            endif;
         Endif;
      End;


      Rule "get(n)"
          client.connected
      ==>
      Var i : NumEntries_t;
      Begin
          doAction(n,no_address,get);
          if (client.response = Success) then
             i := NameIndex(n);
             if i=0 then
                client.response := NameNotExist;
             else
                data_base.last_entry.address :=
   data_base.data[i].address;
                client.last_entry.address :=
   data_base.data[i].address;
                client.response := Success;
             endif;
          Endif;
      End;
   End;

Rule "client.disconnect()"
      client.connected
==>
Begin
      client.connected := FALSE;
      client.response   := Success;
End;


TC_StartTestCase "INIT_SYSTEM"

Var i : NumEntries_t;
Begin
      client.connected := TRUE;
      client.response := Success;
      client.last_entry.name := no_name;
```

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```
      client.last_entry.address := no_address;
      data_base.action := no_action;
      data_base.last_entry.name := no_name;
      data_base.last_entry.address := no_address;
      for i : NumEntries_t do
            data_base.data[i].name := no_name;
             data_base.data[i].address := no_address;
      endfor;
End;

TC_EndTestCase "client.disconnectClient()"
      !client.connected
```

Listing 3

```
/******************************************************
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*
******************************************************/

-- Coverage Criterion for the NameServer model

CC_State_Projection "Status of client"
      TRUE On
      client;


CC_Transition_Projection "Changes in status of a database
when a client tries to update or to remove a notexisting
entry"
      From_Condition TRUE
      From data_base;
      To_Condition (data_base.action=remove &
       client.response=NameNotExist) |
        (data_base.action=update &
       client.response=NameNotExist)
      To data_base;
```

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   While this invention has been explained with refer-
ence to the structure disclosed herein, it is not con-
fined to the details set forth, and this application is
intended to cover any modifications and changes as may
5 come within the scope of the following claims: